

04/25/01

04-27-01

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5001

First Named Inventor or Application Identifier

Guohua Li

Express Mail Label No: EL281360951US

Only for new non-provisional applications under 37 CFR 1.53(b))

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 66
2. ☒ Drawing(s) (35USC 113) Total Pages 27
3. ☒ Declaration and Power of Attorney Total Pages 2

- a. ☒ Unexecuted(original or copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which

copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)

6. ☒ Letter under 37 CFR 1.41(c).

7. ☐ English Translation Document (if applicable)

8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

9. ☐ Preliminary Amendment

10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired

12. ☒ Certified copies of Japanese priority documents Nos. P2000-128999 filed April 25, 2000 and P2000-129000 filed April 25, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

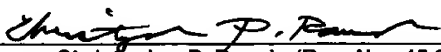
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	16		\$18.00	0.00
INDEPENDENT CLAIMS	8	5	80.00	400.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$1,110.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,110.00 to cover the filing fee is enclosed. .

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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SIGNATURE



Christopher P. Rauch (Reg. No. 45,034)

Date: April 25, 2001

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Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"POSITIVE ELECTRODE ACTIVE MATERIAL AND NON-AQUEOUS
ELECTROLYTE CELL"
Applicant(s) Guohua Li, et al.
Attorney Docket No. 09792909-5001

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 16 claims (8 independent), 27 sheets of drawings (Figs. 1-27) and the filing fee of
\$1,110.00 on behalf of

GUOHUA LI and ATSUKO YAMADA

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

Christopher P. Rauch

By:

Christopher P. Rauch

Enclosures

09792909-5001

04-27-01

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EXPRESS MAIL #EL281360951US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: April 25, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Guohua Li and Atsuo Yamada

Attorney Docket No. 09792909-5001

\$1,110.00 filing fee (16 claims - 8 independent)

Drawings (27 sheets - Figs. 1-27)

Unexecuted Declaration

Letter Under Rule 37

Certified copies of Japanese priority documents Nos. P2000-128999 and P2000-129000

Return postcard



Signature of Person Mailing Application and Fees